

1 MARC J. FAGEL (Cal. Bar No. 154425)  
fagelm@sec.gov  
2 ROBERT L. MITCHELL (Cal. Bar No. 161354)  
mitchellr@sec.gov  
3 MARK P. FICKES (Cal Bar No. 178570)  
fickesm@sec.gov  
4 SAHIL DESAI (Cal. Bar No. 197358)  
desais@sec.gov

5 Attorneys for Plaintiff  
6 SECURITIES AND EXCHANGE COMMISSION  
44 Montgomery Street, Suite 2600  
7 San Francisco, California 94104  
Telephone: (415) 705-2500  
8 Facsimile: (415) 705-2501

9  
10 **UNITED STATES DISTRICT COURT**  
11 **NORTHERN DISTRICT OF CALIFORNIA**  
12 **SAN JOSE DIVISION**

13 SECURITIES AND EXCHANGE COMMISSION,

14 Plaintiff,

15 vs.

16 NANCY R. HEINEN,

17 Defendant.

Case No. C-07-2214 (JF)

**THIRD JOINT CASE MANAGEMENT  
STATEMENT AND [PROPOSED]  
ORDER**

CMC Date: June 13, 2008  
Time: 10:30 a.m.  
Location: Courtroom 3, 5<sup>th</sup> Floor  
Hon. Jeremy Fogel

20  
21 Pursuant to Civil Local Rule 16-10(d), the parties to the above-entitled action certify that they  
22 have met and conferred and jointly submit this Third Joint Case Management Statement and  
23 Proposed Order and request that the Court adopt it as a Supplemental Case Management Order in this  
24 case.

25 **DESCRIPTION OF SUBSEQUENT CASE DEVELOPMENTS**

26 1. The parties note the following changes or progress since the last case management  
27 statement filed by the parties:  
28

- 1 a. The parties have proceeded with discovery, with the Commission concluding the  
2 deposition of Steven Jobs, Chief Executive of Apple, and setting depositions dates  
3 for three other witnesses.  
4  
5 b. The parties do not request any alterations to the existing Scheduling Order in this  
6 case (Docket Item 37).  
7  
8 d. As required by Local Rule 16-10(d), the parties hereby provide the following  
9 statement regarding ADR. The parties held an ADR phone conference on  
10 September 5, 2007 at 3:00 p.m. However, both parties continue to believe that, in  
11 order for an ADR referral to be useful, the parties should first conclude fact and  
12 expert discovery. Accordingly, the parties recommend that the Court refer this  
13 case for a settlement conference with a judicial officer after the completion of  
14 discovery and the filing of dispositive motions, if any.

15 2. The parties jointly request that the Court make the following Supplemental Case  
16 Management Order:

17 That the deadlines and other requirements established by the Court's Scheduling Order  
18 dated March 27, 2008 (Docket Item 37) remain in effect.

19 Dated: June 4, 2008

/s/ Robert L. Mitchell

Robert L. Mitchell

Mark P. Fickes

Sahil Desai

Attorneys for Plaintiff

SECURITIES AND EXCHANGE COMMISSION

23 Dated: June 4, 2008

/s/ Miles Ehrlich

Miles Ehrlich

Ismail Ramsey

Ramsey & Ehrlich

Attorneys for Defendant

NANCY R. HEINEN

1  
2 **SUPPLEMENTAL CASE MANAGEMENT ORDER**

3 This Third Joint Case Management Statement and Proposed Order is hereby adopted by the  
4 Court as a Supplemental Case Management Order for the case. Accordingly, the deadlines and other  
5 requirements established by the Court's Scheduling Order dated March 27, 2008 (Docket Item 37)  
6 remain in effect.

7 IT IS SO ORDERED.

8  
9 DATED: \_\_\_\_\_, 2008

\_\_\_\_\_  
10 Hon. Jeremy Fogel  
11 United States District Judge  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28